

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kalman A. Lifson
Filing Date: December 15, 2003
Title: STOCK PORTFOLIO AND METHOD

MAIL STOP PATENT APPLICATION

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

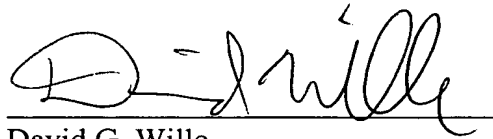
Applicant respectfully requests, pursuant to 37 C.F.R. § 1.56, 1.97, and 1.98, that the references listed on the attached PTO Form 1449 be considered and cited in the examination of the above-identified patent application.

Since the present Application was filed after June 30, 2003, a copy of any U.S. Patent and any U.S. Patent Application Publication cited on the attached PTO Form 1449 is not being submitted with this Information Disclosure Statement pursuant to the July 11, 2003 waiver of 37 C.F.R. S 1.98(a)(2)(i) by the U.S. Patent and Trademark Office. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application. However, copies of articles and foreign patents are being furnished.

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicant believes that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker & Botts, L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.
Attorneys for Applicant

A handwritten signature in black ink, appearing to read "D. Wille", written over a horizontal line.

David G. Wille
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Dated: December 15, 2003

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Patent Trademark Office

PTO-1449 Information Disclosure Citation in an Application			Application No. Docket Number 020776.0119		Applicant(s) Kalman A. Lifson Group Art Unit Filing Date December 15, 2003		
U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	6,415,268 B1	07/02/2002	Korisch	705	36	10/08/1999
	B	6,453,303 B1	09/17/2002	Li	705	36	08/15/2000
	C						
	D						
	E						
	F						
	G						
	H						
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	J						
	K						
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	N						
	O						
	P						
	Q						
	R						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	S						YES NO
	T						
NON-PATENT DOCUMENTS							
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DATE
	U	Trade10.com, "Multiple Linear Regression," 6 pages					10/27/2003
	V	Dept. of Biological Sciences, University of S. Carolina, "This Weeks Picks (check dates in file)," 12 pages					12/03/2003
	W						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							